



कुमाऊँ विश्वविद्यालय, नैनीताल-263001(उत्तराखण्ड)

KUMAUN UNIVERSITY, NAINITAL-263001(UTTARAKHAND)

Sponsored Research and Industrial Consultancy Cell(SRICC)

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No. RAC meeting/2019/

Dated : 28th Nov., 2019

A meeting of the Research Advisory Committee of Kumaun University Nainital was held on 28th November., 2019 at 11.30 a.m. in the Chamber of Hon'ble Vice-Chancellor, Kumaun University, Nainital. The following were present.

1. Prof. K.S.Rana, Vice Chancellor, Chairman.
2. Prof. H.C.Chandola, Director , SRICC, Kumaun University, Nainital.
3. Dr.Mahesh Kumar,Registrar, Kumaun University, Nainital
4. Mr.Dinesh Rana, Finance Controller , Kumaun University, Nainital.
5. Mr.Arvind Padiyar, Member, Executive Council , Kumaun University,Nainital.
6. Mr.Kewal Sati, Member, Executive Council , Kumaun University, Nainital.
7. Prof. S.P.S. Mehta, Dean. Science Faculty, Kumaun University,Nainital.
8. Prof.B.P. Sigal, H.O.D. Commerce and Management Faculty,S.S.J.Campus, Almora.
9. Prof. Rajeev Upadhyay, Director IQAC, Kumaun University, Nainital.
10. Prof.Sanjay Kumar Tamta, Joint Director, Arts, SRICC, Kumaun University, Nainital.
11. Prof. Ashish Tewari, Joint Director, SRICC, Kumaun University, Nainital.
12. Prof. Amit Joshi, Joint Director, , SRICC, Kumaun University, Nainital.
13. Prof. J.S.Bisht, Joint Director, SRICC, Kumaun University, Nainital.
14. Prof.M.C.Joshi, H.O.D, I.T, Kumaun University, Nainital.
15. Prof.Ajay Arora, H.O.D. Deptt of Tourism, Kumaun University, Nainital.

All the issues in the agenda (as well as those raised by members during the meeting) were discussed in detail and the following decisions were taken unanimously :

1. The notification from M.H.R.D (U.G.C) New Delhi dated 27-08-2018 for U.G.C (minimum standard and Procedure for award of M.Phil/Ph.D degree) (1st amendment) Regulations 2018, as mentioned below was put before R.A.C for amending the K.U Research ordinance 2016 (at appropriate places).

"Provided that a relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (Non-Creamy layers)/Differently-abled category in the entrance examination conducted by the Universities.

Provided Further that, if in spite of the above relaxation, the seats allotted for SC/ST/OBC(Non-Creamy layers)/Differently-Abled categories remain unfilled, the concerned Universities shall launch a Special Admission Drive, for that particular category within one month from the date of closure of admissions of General Category. The concerned University will devise its own admission procedure.

along with eligibility conditions to ensure that most of the seats under these categories are filled."

- a) The qualifying marks for SC/ST/OBC(non creamy layer)/ differently abled shall be relaxed by 5% (50% to 45%). There shall be a special drive to induct SC/ST/OBC /other reserved category candidates wherein the qualifying marks shall be brought down by another 5% (45% to 40%) after a waiting period of one month.
- b) U.G.C 2016 clause regarding EWS (10% Reservation) will be included in the Kumaun University Research Ordinance 2018. The EWS candidates shall be accommodated by enhancing the number of candidates permissible for PhD supervision. One additional seat reserved for EWS candidates only will be permissible under a Professor as well as under an Associate Professor.
- c) Max marks for entrance test (RDET) shall be 100. Evaluation would be uniform and shall be from a total of 100 marks. When reported, one marks will be awarded for each wrong answer /wrong question.
- d) The Process for selection of candidates shall include an interview (100 marks) also after RDET or JRF (NET/Inspire) /UGC Teacher fellowship holder/M.Phil (by KU).

The break-up of max marks (100) shall be as follows :

- i- 70% from the Score of RDET/ JRF/Teacher Fellowship/ M.Phil from K.U
- ii- 30% from the Interview Score.

Note - For NET (JRF), 70% marks shall be from the NET(JRF) test (applicable after 2015 where score is mentioned) or 70% marks from the Masters Course (applicable to candidates who qualified NET before 2015) which dose not have a mention of NET score).

For teacher Category Candidates : 70% of the Marks obtained in their NET score (Or P.G Score in absence of Net score).

The applicants must register online for the interview on payment of Rs 300 (registration fee Rs 250 + Portal fee Rs 50)

The committee for the interview process shall have the following as members :

Convener (RDC), Director (Research), Joint Director representing the faculty and one co-opted member from the faculty nominated by the Convener.

There shall be a provision of 'sitting charges' for the members of the committee as follows:

Rs. 1000 for the convener and Rs 500 each for other members.

e) The duration of the Ph.D Program shall be as following:

(I) Minimum time to be eligible for thesis submission: 3 years (Including course work of 6 months)

(II) Maximum time permissible : 6 years (From the date of registration)

On completion of 6 years if the candidate is unable to submit the final thesis, the Hon'ble Vice Chancellor may allow a one year extension provided the candidate requests for the said extension 3 months in advance (Before expiry of 6 years period). The registration will get terminated otherwise automatically.

(III) On completion of 7 years, there will be a provision of re-registration on payment of a fee of Rs. 5000 subject to the approval of the concerned RDC and Convener provided the candidate request for it 3 months in advance (before expiry of 7 year period). The candidate will get an extended maximum period of one year to submit his/her thesis. Thereafter the thesis will not be accepted for its submission in any case.

2- For University Campuses, the pre- submission seminar and the final viva -voce exam of the Ph.D/D.Sc will be held at the place of work of the supervisor (with due information to the convener) while the same will be held at the place of work of the convener/HOD for all other case.

3- Clause : 3.2 in the format for MoU regarding joint supervision to be deleted. The corrigendum may be mailed to all co-supervisor of institutes with whom the MoU has already been signed.

4- **(a)Evaluation of Ph.D Thesis** : Concerning evaluation of Ph.D thesis and the result of such evaluation, the following procedure will be followed.

Two Positive reports shall be necessary for the fixing viva-voce and award of Ph.D degree.

Since the experts have three options (Accepted, Rejected and Revised), the following procedure /methodology is required to be adopted :

(i) If one of the report is positive and other examiner has asked for revision : The information regarding revision with the report shall be given to the candidate (Conceiling the name of examiner) for a chance for revision of thesis and the thesis after revision shall be sent to the concerned examiner for its re-evaluation and his report will be final.

(ii) If one of the report is negative and the other examiner has asked for revision: The revision sought by the examiner shall be brought to the notice of the candidate (Conceiling the name of examiner) and the revised thesis shall be sent to the third examiner as well as to that asking revision. If the thesis is rejected

When after revision by the third examiner / revision examiner the thesis stands rejected.

(iii) If both the examiner ask for revision of the thesis, the candidate must be informed absent the said revision (Concealing the name of examiners) and the revised thesis by the the scholar shall be sent to the same set of examiners for its re-evaluation. If both the examiner give a positive report thesis will be accepted. Otherwise, it will stand rejected.

(iv) If one report is positive and other is negative, the thesis will be sent to a third examiner, the opinion of whom will be final.

b) Evaluation of D.Sc. Thesis-

Three positive reports shall be necessary for the award of D.Sc. degree.

Since the experts have three options (Accepted, Rejected and Revised), the following procedure /methodology is required to be adopted :

i) If two report are positive and one is negative, the thesis will be sent to fourth examiner whose report will be final. If two reports are negative and one is positive, it will stand rejected.

ii) If two reports are positive and one of examiner has asked for revision: The candidate shall be asked to submit revised version and the revised thesis shall be sent to all the examiners. If the report after this is positive, the thesis shall be accepted. In case the revised thesis is rejected it would be sent to a fourth examiner whose report will be final.

iii) if one report is positive ,one is negative and one examiner has asked for revision: Thesis shall be sent to a fourth examiner. Simultaneously, the candidate will also be informed for the revision of the thesis and revised thesis shall be sent to all the examiners. If the report of both the examiners is positive (leading to 3 positive reports) thesis shell be accepted, otherwise it will stand rejected.

iv) If one report is positive and two examiners have asked for revision, the candidate will be asked for the revision of the thesis and the revised thesis will be sent to all the examiners. If both the reports from revision examiner are positive, the thesis will be accepted. If one report from revision examiner is negative the thesis will be sent to fourth examiner whose report will be final.

5) The Ph.D entrance examination and Ph.D course work exam may be conducted in coordination with the controller of Examinations . All the question papers shall of course be provided by the the Research Directorate.

6) The expenses for conducting the RDC meeting to the tune of Rs= 1000/= shall be paid to the convener subject to the availability of funds.

The following resolutions were also unanimously adopted :

- (1)** Ph.d submission fee shall be Rs. 15,000/- (the decision was taken in view of the huge expenses incurred by the university - almost Rs. 25,000 per student)
- (2)** If the examiners do not send their reports within 3 months the Hon'ble Vice Chancellor may send the same to other examiner/examiners.
- (3)** The final Viva Voce Examination must be conducted within 3 months of receiving the reports. If there is delay on the part of the external examiner, the Hon'ble Vice Chancellor may invite the other examiner for the said purpose.
- (4)** The Hon'ble Vice Chancellor authorised the Research Cell to decide the amount of remuneration to be paid to it staff member (non teaching) for their efforts to enhance efficiency and effectiveness.
- (5)** The Convener should inform the University office about the date of RDC meeting at least 10 days in advance in order to communicate the same to the research scholars in time.
- (6)** University logo will not be used in any thesis, synopsis or summery and the Ph.D thesis should be printed on both sides with double spacing and 12 point Time New Roman or equivalent fonts.
- (7)** For the Ph.D thesis written in a language other than English, the title of the thesis must be written in Roman also.
- (8)** For a candidate absent in one RDC, a second chance may be given to him for presentation.
- (9)** Ph.D/ D.Litt/D.Sc degree of Kumaun University shall be awarded to a candidate only once in one subject.

Signed -



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